



CERTIFICATION OF ORIGIN INSTRUCTIONS USMCA/US-MEXICO-CANADA FREE TRADE AGREEMENT

- 1. Certifier is.** The Certifier is the Party completing the certificate. Indicate your role by checking the appropriate box(es). You may choose more than one. See Party definitions in the following instructions. The Certifier must match the party signing at the bottom and must be the Importer, Exporter, or Producer.
- 2. Single Shipment Reference.** If you chose single shipment, provide a reference number, such as the invoice number to relate the USMCA to the shipment documents.
- 3. Exporter.** Provide the exporter's name, physical/street address (including country), e-mail address, and telephone number. This information is not required if the producer is completing the certification of origin and does not know the identity of the exporter. The address of the exporter shall be the place of export of the good in a Party's territory. Do not provide a PO Box as the only address.

Note: The language in the USMCA for the Exporter is different than what was required for NAFTA. Where NAFTA wanted the Exporter of the goods, the USMCA states the Exporter must be the location of export.

- 4. Deringer Account.** Enter the Deringer Account number that should be associated with the USMCA. If this is a blanket certificate that will be stored for the blanket period, also indicate the account to be billed (if it is a different account) for that service by entering BTP followed by the bill-to party's account number.
- 5. Producer.** Provide the producer's name, physical/street address (including country), e-mail address, and telephone number. State "same as Exporter" if the Producer is the same as the Exporter. If there are multiple producers, do not list a single producer, instead state "Various" or provide a list of producers. A person that wishes for this information to remain confidential may state "Available upon request by the importing authorities". The address of a producer shall be the place of production of the good in a Party's territory. Do not provide a PO Box as the only address.
- 6. Importer.** Provide, if known, the importer's name, address, e-mail address, and telephone number. The address of the importer shall be in a Party's territory. You can state Unknown if the Importer is not known or Various if the blanket certificate will apply to shipments with multiple Importers. Do not provide a PO Box as the only address.

Note: On 5/14/2024, CBP issued [CSMS #60588866](#) that provided guidance on who to name as the Importer party on a certificate of origin. CBP stated the following, "Customs and Border Protection (CBP) has observed an increase in the use of consignee information where importer information is required; specifically, when the consignee is not the importer of record (IOR). The importer must be the actual IOR. If a consignee is not the IOR, the consignee may NOT be listed as the importer on the origin declaration, origin statement, or certification of origin."

- 7. Part Number.** If you track your products by part number, indicate the part number. This field is optional.
- 8. Description of Goods.** Provide a good description of the product. The USMCA dictates that "The description should be sufficient to relate it to the good covered by the certification." We advise that the description needs to be good enough that someone could reasonably pick out an HTS number based on the description. Do not describe your product only with a part/product number.
- 9. HTS Number.** Provide the Harmonized Tariff Schedule number for the product to a minimum of 6-digits. HTS numbers to the 8-digit and 10-digit level are also acceptable. Current HTS numbers can be found at <https://hts.usitc.gov/>.
- 10. Origin Criterion.** Specify the origin criteria under which the good qualifies, as set out in [Article 4.2 \(Originating Goods\)](#). Please review what the origin criterion letters mean and choose the one best suited to your product:

A - Wholly obtained or produced entirely in the territory of one or more of the Parties, as defined in [Article 4.3 \(Wholly Obtained or Produced Goods\)](#). These are raw materials, animals, plants, fish, lumber, minerals, and their derivatives. These products generally have not undergone any manufacturing or processing. Criterion A also encompasses waste and scrap.

B - Produced entirely in the territory of one or more of the Parties using non-originating materials provided the good satisfies all applicable requirements of [Annex 4-B \(Product-Specific Rules of Origin\)](#) (tariff shift and/or RVC)). At least one material or component is of non-North American origin and meets tariff shift and/or RVC requirements.

C - Produced entirely in the territory of one or more of the Parties exclusively from originating materials. One or more of the materials are not “wholly produced or obtained”. All materials are of North American origin.

D - Except for a good provided for in Chapter 61 to 63 of the Harmonized System:

- i. (I) produced entirely in the territory of one or more of the Parties;
- ii. (ii) one or more of the non-originating materials provided for as parts under the Harmonized System used in the production of the good cannot satisfy the requirements set out in Annex 4-B (Product-Specific Rules of Origin) because both the good and its materials are classified in the same subheading or same heading that is not further subdivided into subheadings or, the good was imported into the territory of a Party in an unassembled or a disassembled form but was classified as an assembled good pursuant to rule 2(a) of the General Rules of Interpretation of the Harmonized System; and
- iii. (iii) the regional value content of the good, determined in accordance with Article 4.5 (Regional Value Content), is not less than 60 percent if the transaction value method is used, or not less than 50 percent if the net cost method is used

11. Country of Origin. Enter the country of origin of the product.

12. Certifier Printed Name. Print the name of the individual that has completed the certificate. The individual completing the certificate should be someone that has knowledge of the listed products' USMCA eligibility.

13. Certifier Signature. Signature of the person named in Field 12.

14. Date. Enter the date the USMCA was completed and signed. The certificate must be in existence at the time a USMCA claim is made. Because of this, if the certificate is edited, the signature needs to be re-dated.

15. Certifier Title. Enter the title of the person named in Field 12.

16. Company Name. Enter the employer of the person named in Field 12. The certifier must be the Importer, Exporter, or Producer so this Company should match the Party that was indicated as the Certifier Party in Field 1.

17. Certifier Phone#. Enter the phone number where the person named in Field 12 can be reached.

18. Certifier E-mail. Enter the e-mail address where the person named in Field 12 can be reached.

Resources:

- [USMCA Full Text](#)
- [USMCA Annex 5-A Minimum Data Elements](#)
- [USMCA Annex 4-B \(Product Specific Rules of Origin\)](#)
- [HTSUS](#)